

Honeywell's Docket No. H0004870 US - 4015  
Practitioner's Docket No. H9945-1305

**CHAPTER II**

**IN THE UNITED STATES ELECTED OFFICE (EO/US)**

PCT/US04/01798	21 January 2004	22 January 2003
<b>International Application No.</b>	<b>International Filing Date</b>	<b>Priority Date Claimed</b>

**APPARATUS AND METHODS FOR IONIZED DEPOSITION OF A FILM OR THIN LAYER**

**Title of Invention**

Honeywell International Inc.: John Mize, Kenneth Niemela and Steven Wu

**Applicant(s)**

**Mail Stop PCT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**  
**ATTENTION: EO/US**

**COMPLETION OF FILING REQUIREMENTS  
FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371**

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

**EXPRESS MAILING UNDER 37 C.F.R. § 1.10\***

*(Express Mail label number is **mandatory**.)*

*(Express Mail certification is optional)*

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date **6 February 2006** in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. **EQ335252192US**.

Date: 6 February 2006

**EQ335252192US**

  
Sandra P. Thompson, PhD

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

## DECLARATION OR OATH

- I. No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## EXTENSION OF TIME

- II. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

The Applicant herein believes that no extension of time is necessary, since this Response is filed on 6 February 2006. However, applicant petitions for an extension of one month, if needed, the other than small entity fee for which is \$120.00 as set out in 37 C.F.R. § 1.17(a)(2). If an additional extension of time is required, please consider this a petition therefor.

## TOTAL FEE DUE

- IV. The total fee due is:

Completion fee(s)	\$130.00
Extension fee (if any)	\$0.00

**TOTAL FEE DUE \$130.00**

## PAYMENT OF FEES

- V.

Authorization is hereby made to charge the amount of \$130.00 to Deposit Account No. 500977.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this request is attached.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

- VI. Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:

- \* 37 C.F.R. § 1.492(a)(1), 1.492(a)(4) (filing fees)
- \* 37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)
- \* 37 C.F.R. § 1.17 (application processing fees)
- \* 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to section 1.136(a))

02/09/2006 GFREY1 00000152 500977 10542040

01 FC:1617 130.00 DA

Date: 6 February 2006

A handwritten signature in black ink, reading "Sandra Thompson". The signature is written in a cursive style with a horizontal line underneath the name.

Sandra P. Thompson  
Buchalter Nemer, A Professional Corporation  
18400 Von Karman, Suite 800  
Irvine, CA 92612

Client Copy



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/542,040	John Mize	H0004870 US -4015

INTERNATIONAL APPLICATION NO.

PCT/US04/01798

I.A. FILING DATE	PRIORITY DATE
01/21/2004	01/22/2003

23639 SPT  
 BINGHAM, MCCUTCHEN LLP  
 THREE EMBARCADERO CENTER  
 18 FLOOR  
 SAN FRANCISCO, CA 94111-4067

**RECEIVED****DEC 12 2005**

Bingham McCutchen  
 IP Docket Dept.

**CONFIRMATION NO. 6396****371 FORMALITIES LETTER**

\*OC000000017586386\*

Date Mailed: 12/06/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/13/2005
- Copy of the International Search Report filed on 07/13/2005
- Copy of IPE Report filed on 10/20/2005
- Information Disclosure Statements filed on 10/20/2005
- Request for Immediate Examination filed on 07/13/2005
- U.S. Basic National Fees filed on 07/13/2005
- Priority Documents filed on 07/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

transferred to SPT-8/8/

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/542,040	PCT/US04/01798	H0004870 US -4015

FORM PCT/DO/EO/905 (371 Formalities Notice)